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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/681,386	10/07/2003	Anand Prahlad	4982/29US

CONFIRMATION NO. 6393

29858  
BROWN, RAYSMAN, MILLSTEIN, FELDER & STEINER LLP  
900 THIRD AVENUE  
NEW YORK, NY 10022

## FORMALITIES LETTER



\*OC000000011597110\*

Date Mailed: 01/02/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$129 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$579** for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$129**

08/03/2004 HANDED1 00000003 10681386

01 FC:2001	385.00 OP
02 FC:2051	65.00 OP
03 FC:2201	129.00 OP

- \$129 for 3 independent claims over 3.

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the residence (e.g., city and either state or foreign country) of each inventor.
- does not identify the complete mailing or post office address of each inventor.
- does not identify the citizenship of each inventor.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

T. TU  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT  
Attorney Docket No.: 4982/29

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Prahlad et al.

Application No: 10/681,386

Filed: October 7, 2003

For: System and Method for Managing  
Stored Data

Art Unit: 2186

Examiner: Not Yet Assigned

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

Mail Stop: Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed on January 2, 2004 in connection with the patent application identified above, Applicants submit herewith an executed Declaration and a check including \$579 in payment of the filing fee, surcharge, and additional claim fee.

Please charge Deposit Account No. 02-4270 for any additional fees that may be due in this matter.

Dated: July 29, 2004

I hereby certify that the correspondence attached herewith is being transmitted by first class mail to Mail Stop: Missing Parts, Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450:

Tabitha Crosier  
Tabitha Crosier

7/29/04  
Date

James J. Woods  
James J. Woods  
Reg. No. 47,184  
BROWN RAYSMAN MILLSTEIN FELDER  
& STEINER LLP  
900 Third Avenue  
New York, New York 10022  
Tel : (212) 895-2000  
Fax: (212) 895-2900